Roles and Responsibilities - Legal Issues

Section: Legal Issues Number: 60.001
Title: Roles and Responsibilities - Legal Issues

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- None.

POLICY

.100 POLICY STATEMENT

The policy sets forth high-level Legal Issues roles and responsibilities for the Chancellor's Office and the Institutions.

.110 POLICY RATIONALE

OUS seeks to ensure that the high-level roles and responsibilities of the Chancellor's Office and the Institutions related to Legal Issues are documented, communicated, and clearly understood and applied.

.120 AUTHORITY
.130 APPROVAL AND EFFECTIVE DATE OF POLICY

Approved by the Budget and Finance Committee of the Board on 2/15/02 as part of the Fiscal Accountability Framework Final Report. The effective date of the policy is 3/1/02.

.140 KNOWLEDGE OF THIS POLICY

All Chancellor's Office and institutional personnel with fiscal responsibilities should be knowledgeable of this policy.

.150 DEFINITIONS

None.

.160 HIGH LEVEL ROLES AND RESPONSIBILITIES

4. CHANCELLOR'S OFFICE

<table>
<thead>
<tr>
<th>High level roles</th>
<th>High level responsibilities</th>
</tr>
</thead>
<tbody>
<tr>
<td>General Counsel to the System</td>
<td>In coordination with DOJ, provides legal advice on System-wide OUS issues.</td>
</tr>
<tr>
<td>Counsel for Regulatory Matters</td>
<td>Provides legal analysis and advice on Federal, State, Local, and inter-institutional regulations.</td>
</tr>
<tr>
<td>Counsel for Governance Issues/Mediator</td>
<td>Advises the Board and CO on matters related to Governance, as well as on authority disputes; drafts</td>
</tr>
<tr>
<td>Management of External Counsel</td>
<td>Statutory interpretations, internal policies, and regulations related to authority and responsibility.</td>
</tr>
<tr>
<td></td>
<td>Coordinates with DOJ the provision of legal services for the Board, CO, and institutions. Arranges with DOJ for outside counsel when necessary.</td>
</tr>
</tbody>
</table>

5. INSTITUTION*

<table>
<thead>
<tr>
<th>High level roles</th>
<th>High level responsibilities</th>
</tr>
</thead>
<tbody>
<tr>
<td>Institutional General Counsel</td>
<td>Provides general counsel advice to institution leadership and staff.</td>
</tr>
</tbody>
</table>
Counsel on Regulatory Matters
Provides legal analysis and advice on Federal, State, Local, and inter-institutional regulations to their institution.

Counsel for Governance Issues
Advises the institutional leadership on matters related to governance; drafts Statutory interpretations, institutional policies, and regulations.

Management of External Counsel
Coordinates with DOJ and CO the provision of legal services from resources external to the institution, including DOJ and DOJ designated outside counsel.

*Note: applies to UO and OSU only; no other OUS institutions have in-house counsel.

.690 CONTACT INFORMATION

Direct questions about this policy to the following offices:

Subject | Contact
--- | ---
General questions from institutional personnel | Chancellor's Office, Office of General Counsel
General questions from institutional central administration and Chancellor's Office personnel | Chancellor's Office, Office of General Counsel

.695 HISTORY

10/15/03 - Reformatted
2/15/02 - Approved

Policy Last Updated: 10/15/03

APPENDIX

None.

Appendix Last Updated: 10/15/03