



Executive & Audit Committee 2018 Work Plan

Approved Oct 19, 2017

The Executive & Audit Committee work plan provides a general road map to ensure the Committee meets its charter obligations and responsibilities assigned in board policies.

Work Plan Items

Meeting Dates, 2018	Item	Link to Charter/ Policy
Jan 18, 2018	FY2017 Annual Financial Statements (joint meeting with Finance & Administration Committee)	Charter: Oversee audit activities
	Office of Audit Services (OAS) 2017 Final Progress Report (Approve)	Charter: Oversee audit activities
	OAS 2018 Audit Plan (Approve)	Charter: Oversee audit activities
	Review of OAS Charter (Approve)	Charter: Oversee audit activities
	Election or Re-election of Board Officers (Recommend to Board)	Linked to Board Officers Policy
	University Risk Management	Charter: Monitor risk
Apr 5, 2018	OAS Audit Report, including comprehensive review of open audit recommendations and status (Approve)	Charter: Oversee audit activities
	IT Security, including risk management report	Charter: Monitor compliance Matter referred to committee
May 31, 2018	OAS Audit Report (Approve)	Charter: Oversee audit activities
	All Hazards Planning, including risk management report)	Charter: Monitor compliance Matter referred to committee
	Succession Planning	Matter referred to committee
Oct 11, 2018	OAS Audit Report (Approve)	Charter: Oversee audit activities Charter: Oversight of institution's standards of conduct
	Annual Compliance & Ethics Program Report, including trends and emerging issues	Charter: Ensure key risk, compliance, and regulatory requirements are met Charter: Oversight of institution's standards of conduct

Meeting Dates, 2018	Item	Link to Charter/ Policy
Oct 11, 2018 (cont.)	Board Chair's Report: FY2018 Presidential Performance Assessment (Recommend to Board)	Presidential Assessment Policy; Matter referred to committee
	FY2016-2020 Presidential Employment Agreement Amendment (Recommend to Board)	Matter referred to committee
	Annual Review of Delegation of Authority (Recommend to Board)	Annual review requirement for Delegation of Authority to the University
	Annual Committee Work Plan (Approve)	Board Officers Policy
	General Counsel Annual Report	Charter: Ensure key risk, compliance, and regulatory requirements are met