



**Oregon State**  
**University**

**Office of the Provost and Executive Vice President**  
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5 March 2024

**2024 Compliance Executive Committee: Revised Charge**

**Scott Barnes**, Vice President and Director, Intercollegiate Athletics  
**Mike Green**, Vice President for Finance and Administration  
**Dan Larson**, Vice Provost for Student Affairs  
**Patti Snopkowski**, Chief Audit, Risk, and Compliance Executive – **Co-Chair**  
**Yvette Spitz**, Faculty Senate President  
**Irem Tumer**, Vice President for Research and Innovation

Thank you for your service on the 2024 CEC. I write with an updated charge, including designation of the Chief Audit, Risk, and Compliance Executive as co-chair of the CEC.

**Revised March 2024**

The university compliance and ethics program serves the University's mission by promoting an organizational culture with the highest standards of integrity and by supporting compliance with applicable federal, state, and local laws and regulations. The benefits of a strong compliance program include creating and maintaining a healthy and safe environment for students, employees, and visitors; ensuring the ethical and effective use of university resources; and improving operational efficiency and decreasing administrative burden.

**Responsibility and charge**

There are hundreds of federal, state and local laws that apply to the university, spanning across areas including research, health and safety, information, finance, civil rights, employment, athletics and global operations. Compliance responsibility is shared across the university. Multiple senior leaders, including many members of the CEC, are compliance risk owners who directly oversee offices and employees charged with ensuring compliance with federal and state laws and regulations.

The Provost and Executive Vice President serves as the university's chief operating officer and has broad ownership of university wide compliance risks. The Chief Executive of Audit, Risk and Compliance also has university-wide oversight for enterprise risks. Specifically, the Office of Audit, Risk and Compliance (OARC) coordinates and facilitates OSU's overall decentralized compliance effort and enterprise risk program. OARC is responsible to escalate high risk compliance matters to senior leadership and the Board. The Office of General Counsel (OGC) provides all parties with legal advice and input on institutional risks. OGC also represents the board and university in all matters. The CEC's standing charge is to:

- Champion a culture that builds ethical behavior, compliance, opportunity and risk identification, and risk assessment and mitigation strategy, into the daily activities of university employees;

- Provide input into institution-wide compliance risk assessment and annual priorities;
- Ensure alignment and effectiveness across the university's compliance areas;
- Maintain awareness of major compliance focus areas and incidents, including risk owners' response to material reports of non-compliance to support continuous improvement across the institution;
- Provide information to support appropriate university responses to major compliance incidents, including but not limited to follow-up on material reports of non-compliance to ensure that appropriate corrective and disciplinary actions are taken and provide support for continual improvements; and
- Make recommendations to the Provost and Executive Vice President on the allocation of resources when necessary to mitigate activities determined to be of high compliance risk.

### **Membership**

The CEC is chaired by the Provost and Executive Vice President. The Chief Audit, Risk, and Compliance Executive serves as co-chair. The Vice President for Finance and Administration, Vice President and Director for Intercollegiate Athletics, Vice President for Research and Innovation, Vice Provost for Student Affairs, and the Faculty Senate President, serve as members of the committee.

General Counsel serves to provide legal advice and counsel at all meetings. The Deputy Chief Executive of Compliance and Ethics coordinates and facilitates meetings.

Guest attendees are invited to report or discuss specific issues as necessary. Subcommittees may be convened as needed.

### **Meetings**

Effective in 2024, Compliance Executive Committee updates will be held four times per year, with the two in person meetings, generally in the spring and fall. Written compliance updates will be provided to the committee in the summer and winter, with no in person requirement. Additional meetings may be held as deemed necessary.

The work of the CEC is of strategic importance to the University, and I thank you for your continued service.

Sincerely,



Edward Feser  
Provost and Executive Vice President