



Compliance Executive Committee

2022 Membership

Scott Barnes, Vice President and Director, Intercollegiate Athletics

Mike Green, Vice President for Finance and Administration

Dan Larson, Vice Provost for Student Affairs

Erika McCalpine, Faculty Senate President (effective 1/2022)

Patti Snopkowski, Chief Audit, Risk, and Compliance Executive

Irem Tumer, Vice President for Research

Charge

The university compliance and ethics program serves the mission of Oregon State University (OSU) by promoting an organizational culture with the highest standards of integrity and ensuring compliance with applicable federal, state, and local laws and regulations. The benefits of a strong compliance program include a healthy and safe environment for students, employees, and visitors; the ethical and effective use of university resources; improved operational efficiency; and reduced administrative burden.

Multiple senior leaders are responsible for the effectiveness of OSU's compliance program. The president, provost, vice presidents for finance and administration, intercollegiate athletics, and research, and the vice provost for student affairs all directly oversee offices and personnel charged with ensuring compliance with various laws and regulations. The Office of Audit, Risk and Compliance coordinates OSU's overall compliance effort and the Office of General Counsel provides all parties with legal advice and input on risk.

Charged and chaired by the Provost and Executive Vice President, the CEC helps ensure alignment and effectiveness across compliance areas, including research, health and safety, information security and privacy, financial, civil rights, employment, international and athletics. The CEC's standing charge is to:

- Champion a culture that builds ethical behavior, compliance, and a practice of systematic opportunity and risk assessment into the daily activities of university employees;
- Provide input into institution-wide compliance risk assessment and annual priorities;
- Ensure appropriate university response to major compliance incidents, including but not limited to follow-up on material reports of non-compliance to ensure that appropriate corrective and disciplinary actions are taken and provide support for continual improvements; and
- Make recommendations to the Provost and Executive Vice President on the allocation of resources when necessary to mitigate activities determined to be a high compliance risk.

Standing members include the Provost and Executive Vice President (Chair); Vice President for Finance and Administration; Vice President and Director for Intercollegiate Athletics; Vice President for Research; Vice Provost for Student Affairs; Chief Audit, Risk, and Compliance Executive; and the Faculty Senate President.

Standing meeting invitations include the General Counsel (to provide legal advice); the University Policy and Standards Specialist (to ensure alignment with policy development); and the Director of Compliance (to coordinate and facilitate meetings). When necessary, subcommittees will be formed and/or members of the university community will be invited to address specific issues.

Membership

2021

Scott Barnes, Vice President and Director, Intercollegiate Athletics

Mike Green, Vice President for Finance and Administration

Selina Heppell, Faculty Senate President (effective Jan. 2021)

Patti Snopkowski, Chief Audit, Risk, and Compliance Executive

Irem Tumer, Interim Vice President for Research

2020

Scott Barnes, Vice President and Director, Intercollegiate Athletics

Mike Green, Vice President for Finance and Administration

Dwaine Plaza, Faculty Senate President (effective Jan. 2020)

Patti Snopkowski, Chief Audit, Risk, and Compliance Executive

Irem Tumer, Interim Vice President for Research